

2000 4:44PM LERNERDAVID

NO. 167 P. 3

PATENT
INTERLINK 3.0-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of
Everett, et al.

Group Art Unit: 1632

U.S. Appl. No. 09/431,546

Examiner:

Filed: October 31, 1999

For: Peptides with Enhanced
Stability to Protease
Degradation

Dated: October 6, 2000

Assistant Commissioner For Patents
Washington, D.C. 20231

POWER TO INSPECT

Sir:

Please permit Fred Taylor of Landon & Stark to inspect and make copies of
the captioned application.

Respectfully submitted,

LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Shawn P. Foley
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File Information Unit



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PATENT
INTERLINK 3.0-003

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THE UNITED STATES PATENT AND TRADEMARK OFFICE FEB 8 2000
TECH CENTER 1600/

In re Patent Application of :
EVERETT et al. :
Application No. 09/431,546 : Group Art Unit: 1632
Filed: October 29, 1999 : Examiner:
For: PEPTIDES WITH ENHANCED : Date: December 27, 1999
STABILITY TO PROTEASE :
DEGRADATION :
X

5/1/99
1/4
Shelton
Small Entity

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR REFUND

Sir:

This request for refund is made within two months of the date the filing fee of \$1810.00 was paid in this application. A Verified Statement claiming small entity status is enclosed herewith. It is therefore respectfully requested that one-half of the filing fee be refunded by crediting our Deposit Account No. 12-1095 in the amount of \$905.00.

If the Examiner has any questions concerning this matter, he or she is requested to call applicant's attorney at (908) 654-5000.

Respectfully submitted,

LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Jason I. Garbell
JASON I. GARBELL
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(908) 654-5000

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on December 27, 1999.

Jason I. Garbell
(Signature)
JASON I. GARBELL
(Typed or Printed Name of Person Signing Certificate)
235225_1.DOC

licant or Patentee: EVE. ET AL.
lication or Patent No.: 09/431,546
ed or Issued: October 29, 1999
: PEPTIDES WITH ENHANCED STABILITY
TO PROTEASE DEGRADATION

Attorney's
Docket No.
INTERLINK 3.0-003



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VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on
behalf of the concern identified below:

NAME OF CONCERN: INTERLINK BIOTECHNOLOGIES LLC
ADDRESS OF CONCERN: 221 COMMONS WAY, BUILDING #2
PRINCETON, NJ 08540

I hereby declare that the above-identified small business concern qualifies as a small
business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for
purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States
Code, in that the number of employees of the concern, including those of its affiliates,
does not exceed 500 persons. For purposes of this statement, (1) the number of employees
of the business concern is the average over the previous fiscal year of the concern of
persons employed on a full-time, part-time or temporary basis during each of the pay
periods of the fiscal year, and (2) concerns are affiliates of each other when either,
directly or indirectly, one concern controls or has the power to control the other, or a
third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with
the small business concern identified above with regard to the invention, entitled
PEPTIDES WITH ENHANCED STABILITY TO PROTEASE DEGRADATION by inventor(s) NICHOLAS P.
EVERETT, QINGSHUN LI, CHRISTOPHER DAVIES, and H. MAELOR DAVIES described in

- ☐ the specification filed herewith.
☒ U. S. Application No. 09/431,546, filed October 29, 1999.
☐ U. S. Patent No. , issued
☐ International Application No. , filed

The rights held by the above-identified small business concern are not exclusive, each
individual, concern or organization having rights to the invention is listed below* and
rights to the invention are held by any person, other than the inventor, who could not
qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention,
or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d)
or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d)
or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or
organization having rights to the invention availing to their status as small
entities. (37 CFR 1.27)

NAME

ADDRESS

INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME

ADDRESS

INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change
in status resulting in loss of entitlement to small entity status prior to paying, or at
the time of paying, the earliest of the issue fee or any maintenance fee due after the
time on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that
the statements made on information and belief are believed to be true; and further that
the statements were made with the knowledge that willful false statements and the like
made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18
of the United States Code, and that such willful false statements may jeopardize the
validity of the application, any patent issuing thereon, or any patent to which this
verified statement is directed.

NAME OF PERSON SIGNING: NICHOLAS P. EVERETT

NAME OF PERSON OTHER THAN OWNER: VICE PRESIDENT

ADDRESS OF PERSON SIGNING: 11930 HERITAGE OAK PLACE, SUITE 4, AUBURN, CA
95603

N P Everett

SIGNATURE

12/22/99

DATE